

Realising Ethics and Responsible Innovation in a Large Neuroinformatics Project

Bernd Carsten STAHL, HBP Ethics Director

INRIA

17.10.2022







REVIEW published: 29 March 2019 doi: 10.3389/fnhum.2019.00105



Beyond Research Ethics: Dialogues in Neuro-ICT Research

Bernd Carsten Stahl¹*, Simisola Akintoye², B. Tyr Fothergill¹, Manuel Guerrero^{3,4,5}, Will Knight¹ and Inga Ulnicane¹

¹Centre for Computing and Social Responsibility, De Montfort University, Leicester, United Kingdom, ²Leicester De Montfort Law School, De Montfort University, Leicester, United Kingdom, ³Department of Neurobiology, Care Sciences and Society, Division of Neurogeriatrics, Karolinska Institutet, Solna, Sweden, ⁴Centre for Research Ethics and Bioethics, Uppsala University, Uppsala, Sweden, ⁵Department of Bioethics and Medical Humanities, University of Chile, Santiago, Chile

The increasing use of information and communication technologies (ICTs) to help facilitate neuroscience adds a new level of complexity to the question of how ethical issues of such research can be identified and addressed. Current research ethics





Rome Declaration

Responsible Research and Innovation (RRI) is the ongoing process of aligning research and innovation to the values, needs and expectations of society.



21.11.2014





RESEARCH COMMUNITY

RRI Tools

RRI is a way to do research that takes a long-term perspective on the type of world in which we want to live

Human Brain Project

- **2013-2023**
- EC funding of €~450m
- 100+ partner organisations
- 500+ scientists / researchers

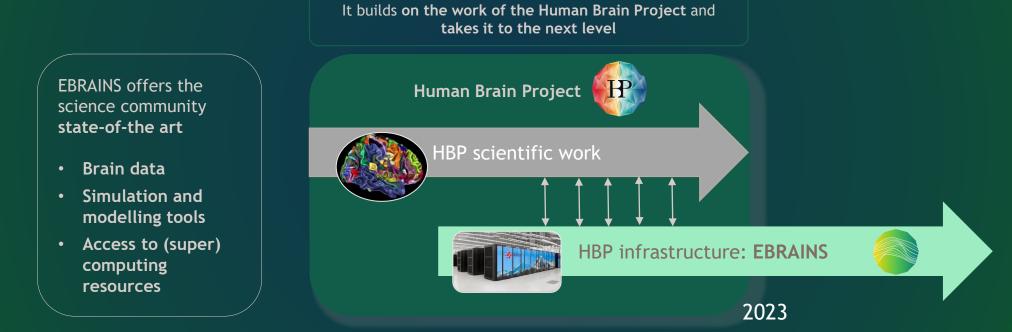
To tame brain complexity, the project is building a research infrastructure to help advance neuroscience, medicine, computing and brain-inspired technologies - EBRAINS. The HBP is developing EBRAINS to create lasting research platforms that benefit the wider community.







EBRAINS' mission: Enabling brain research advances and innovation

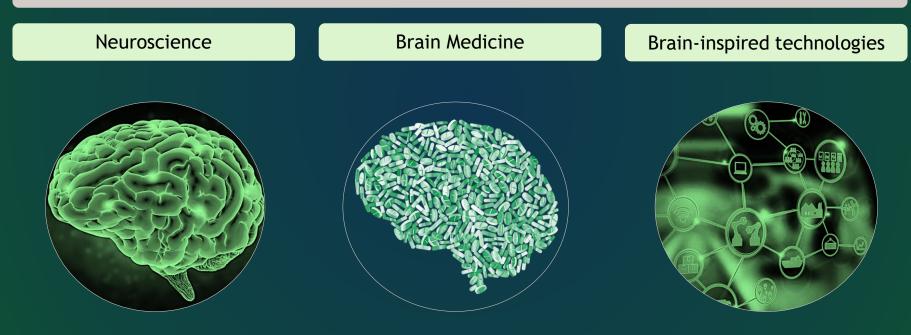


11 December 2022 6

EBRAINS IP Human Brain Project

EBRAINS Focus areas

Covering and ensuring equilibrium between three areas:



11 December 2022 7



What EBRAINS brings to the scientific community

EBRAINS aims at accelerating collaborative brain research with a comprehensive package of data, tools and facilities.



Data and Knowledge

•Online solutions to facilitate sharing of and access to research data, computational models and software



Atlases

•Navigate, characterise and analyse information on the basis of anatomical location

Simulation

•Solutions for brain researchers to conduct sustainable simulation studies and share their results



Brain-Inspired Technologies

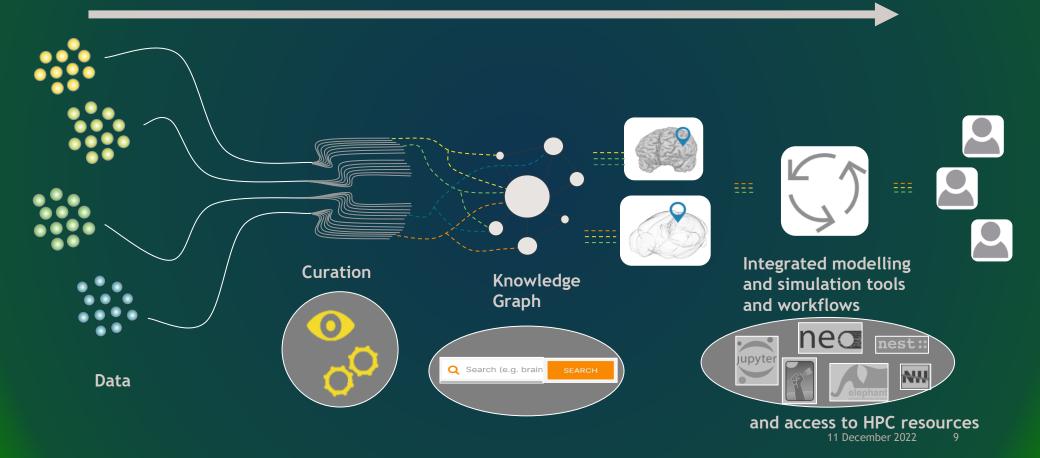
•Understand and leverage the computational capabilities of spiking neural networks

Medical Data Analytics

•The Medical Data Analytics service provides two unique EBRAINS platforms, covering key areas in clinical neuroscience research

EBRAINS IP Human Brain Project

An integrated platform with cutting-edge workflows





Involving a broad network of stakeholders

NEUROSCIENCE

HBP and non-HBP academic centers Strong links with Neuroscience associations in Europe

INDUSTRY

Players in the digital, tech, medical spaces, Innovators, Pharma companies, Health care providers,

OTHER BRAIN INITIATIVES

- EBC, EBRA, EAN
- International Brain Initiative
- Global Brain Consortium
- IBRO

EBRAINS

GOVERNMENT AND POLICY MAKERS

European, national, regional and local

Human Brain Project

NEUROLOGY

Pan-European and national neurology and neurodegenerative disease associations; Brain centers and hospitals; Patient associations

BRAIN-INSPIRED TECHNOLOGIES

Academic, industry and start-up network

OTHER EU INITIATIVES

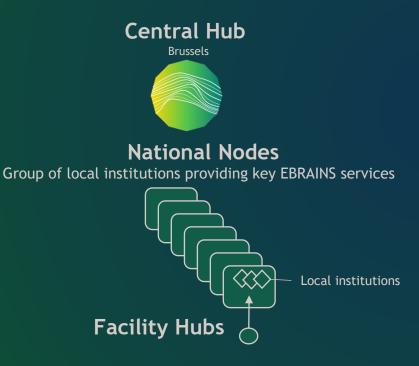
Horizon EU, EU Health Data Space, IMI, FLAG-ERA, AI4EU and other RIs: Elixir, PRACE, BioExcel, BioImaging, EOSC, ...

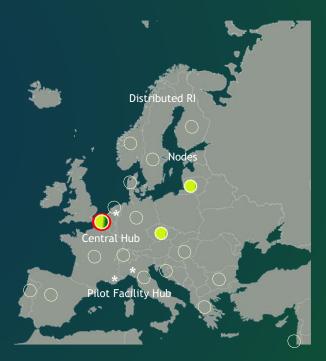
CIVIL SOCIETY NGOs, press & media, general public.

1 April 2021 10

EBRAINS: a distributed Research Infrastructure spread throughout Europe

Bringing the best of the national expertise and creating synergies





11 December 2022 11

EBRAINS IP Human Brain Project

Ethical and Social Questions in the HBP

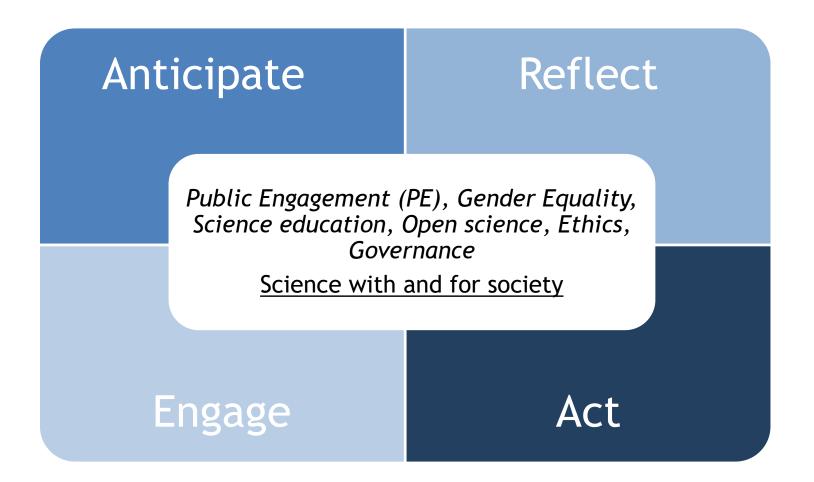
Research ethics and compliance

- Animal research
- Human research
- Data-related
 - Data protection
 - Responsible data governance
- Neuroethics
 - Consciousness
- Dual use, misuse
- Diversity and inclusion
- Commercial exploitation
- Societal consequences
- Licence to operate
- New (since 2021): Al as an ethical issue





Responsible Research and Innovation in the HBP



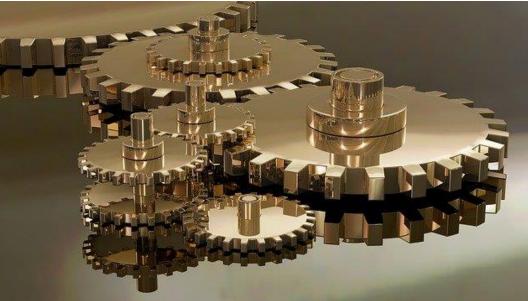




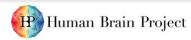


RRI Activities

- Research on various RRI topics
- Neuroethics and philosophical reflection
- Foresight
- Public engagement
- Researcher awareness
- Ethics support
- Capacity development

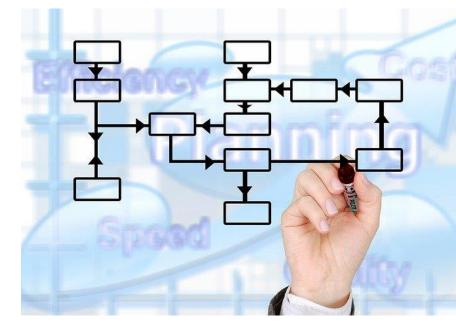






RRI Structures

- Ethics Advisory Board (external experts)
- Ombudsperson
- Ethics Rapporteur Programme
 - Annual update (1-pager)
- Compliance management workflow
- Data Governance Working Group
- Dual Use Working Group
- Diversity and Equal Opportunities Committee
- Representation in project governance
 - Ethics Director
 - RRI WP lead in Science and Infrastructure Board







Structure of Ethics-related Tasks in SGA3

WP1 T1.9 Societal & ethical impact & implications of personalized brain modelling		WP2 T2.7 Neuro-ethics & philosophy of cognition& consciousness		WP3 T3.8 Ethics and philosophy of AI and bio-inspired modelling			Science WPS	
WP4 T4.5 Compliance management, data protection, data governance, data management T4.14 Inclusive community building				WF	WP5		WP6	Infrastructure WPS
WP7 T7.2 Governance (Ethics Advisory Board-EAB & Ombudsperson)	WP8		T9.2 – Respo T9.3 – Neuro T9.4 – Ethics	WP9 Leadership and Management consible Research and Innovation (RRI) ro-ethics and engagement cs Coordination and Communication der Mainstreaming and Diversity Management			Overarching WPS	

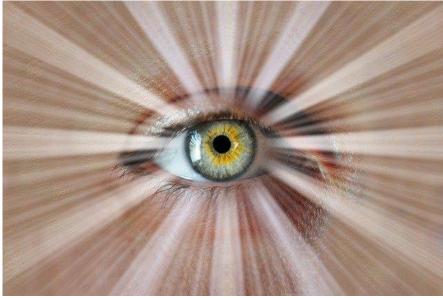


Slide



Lessons Learned (2013-2020)

- Extensive Public Engagement Can Inform Project Decisions
- In Practice Compliance Issues Tend to Dominate the RRI Agenda
- Interdisciplinary Collaboration is Challenging when Implementing RRI
- Interpretations of RRI Continue to be Subject of Debate
- Implementing RRI Reveals Gaps in Knowledge of Substantive Issues
- Success of RRI Implementation is Difficult to Prove







Some further insights

- RRI remains a contested concept
- Expectation management is important
- Uncertainty and conflict remain
- RRI is not a solution, but a process
- RRI needs to co-evolve with the science







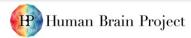
Future Plans (2022-2023): Responsibility by Design

Preparation for the transition from research project to distributed research infrastructure

- Organisational Integration of RRI
- Ethics and Society Vision
- EBRAINS Ethics and Society Committee
- Community and Capacity Building
- From Research to Innovation and Commercial Exploitation
- Global RRI Engagement and ensuring societal benefit







Al and Ethics https://doi.org/10.1007/s43681-022-00201-4

ORIGINAL RESEARCH



Assessing the ethical and social concerns of artificial intelligence in neuroinformatics research: an empirical test of the European Union Assessment List for Trustworthy AI (ALTAI)

Bernd Carsten Stahl^{1,2} · Tonii Leach¹

Received: 14 May 2022 / Accepted: 21 July 2022 © The Author(s) 2022

Abstract

Ethical and social concerns are a key obstacle to the adoption of artificial intelligence (AI) in the life sciences and beyond. The discussion of these issues has intensified in recent years and led to a number of approaches, tools and initiatives. Key amongst them is the idea of ex-ante impact assessments that aim to identify issues at the early stages of development. One



Resources

- Responsibility by Design paper in JRI <u>https://doi.org/10.1080/23299460.2021.1955613</u>
- HBP website <u>https://www.humanbrainproject.eu/en/social-ethical-reflective/</u>
- HBP education <u>https://www.humanbrainproject.eu/en/education/participatecollabor</u> <u>ate/curriculum/online-course/research-ethics-societal-impact/</u>
- EBRAINS data governance <u>https://ebrains.eu/terms</u>
- Ethics Dialogues <u>http://www.ethicsdialogues.eu/</u>
- EBRAINS Ethics and Society Vision <u>https://ebrains.eu/ethics-and-society-vision/</u>



